

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

COMPLETION
For PCT Cases Only

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): BROWN

Appln. No.: 09 | 936,758
Series Code ↑ Serial No. ↑

Atty. Dkt. P 0283685 | Z70514
M#

National Phase Field

Based on PCT GB00 | 00912
↑ Country Code & Year

Title: Amide Derivatives

Date: November 15, 2001

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c) the following attached items:

Client Ref	
FEE VALUE	
ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
03	3975
FEE CODE	VALUE FURNISHED
968	280
Please accept	

- Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
- ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
- ☐ **Translation** of the International Application into English including:
 - ☐ Request;
 - ☐ pgs. Spec. and Claims;
 - ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
 - ☐ Abstract
 - ☐ Translation verification
- ☐ Copy of **International Search Report (ISR)** attached (___ page(s))
 - ☐ plus Annex of family members (___ page(s))
- Information Disclosure Statement** including
 - ☐ From PTO-1449 listing documents
 - ☐ Copies of document(s) listed on Form PTO-1449
 - ☐ A concise explanation of ISR references is given in the ISR
- ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
- ☐ Copy of Power to international application agent.
- ___ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
- ☐ Formal Drawings: ___ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
- ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Cod	
16. Total Effective Claims	16	minus 20 =	0	x \$18/\$9	+0	966/967	
17. Independent Claims	1	minus 3 =	0	x \$84/\$42	+0	964/965	
18. If <u>any</u> proper multiple dependent claim (ignore improper) is present,				\$280/\$140	+280	968/969	
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130	154/254	
20. SUBTOTAL					\$410		
21. Original due date: December 30, 2001							
22. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+	115/215
				(2mos)	\$400/\$200 =		116/216
				(3mos)	\$920/\$460 =		117/217
				(4mos)	\$1,440/\$720 =		118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	156	
24. If "assignment" box 6 is X'd, add recording fee.				\$40	+40	581	
25. TOTAL FEE ENCLOSED =					\$450		

(Our Deposit Account No. 03-3975)

(Our Order No. 009901 0283685

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
 McLean, VA 22102
 Tel: (703) 905-2000

By Atty: Donald J. Bird

Reg. No. 25323


Sig:

Fax: (703) 905-2500
 Tel: (703) 905-2018

DJB/AMX

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

#3

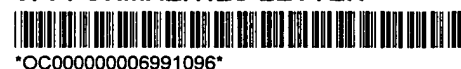
 <small>Continuation For Patent, See PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
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U.S. APPLICATION NUMBER NO. 09/936,758	FIRST NAMED APPLICANT Deag Sutherland Brown	ATTY. DOCKET NO. P 0283685
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00909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102

INTERNATIONAL APPLICATION NO. PCT/GB00/00912	
I.A. FILING DATE 03/13/2000	PRIORITY DATE 03/17/1999

CONFIRMATION NO. 8990
371 FORMALITIES LETTER



Date Mailed: 10/30/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- o U.S. Basic National Fees
- o Priority Document
- o Copy of IPE Report
- o Copy of references cited in ISR
- o Copy of the International Application
- o Copy of the International Search Report
- o Information Disclosure Statements
- o Preliminary Amendments
- o Request for Immediate Examination

RECEIVED
PILLSBURY WINTHROP LLP/DC

NOV 01 2001

CL# 9901 MT# 283685
ATTY(S) DYB
DUE: 12-30-01
DKT BY (1) OMW (2) JEH

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- o Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- o \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- o **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,758	PCT/GB00/00912	P 0283685